

**FORM NO. IV**

FORM OF APPLICATION FOR ELIGIBILITY UNDER THE 2007 SCHEME OF INCENTIVES  
TO BE MADE BY EXISTING UNIT

UNDER PART I : ✓

UNDER PART II :

(Please give the information as a separate sheet duly signed if the space provided is not sufficient)

**SECTION 'A'**

	Details of the existing unit	Details of the proposed New Unit / Near New Unit / Expansion / Diversification Unit
1.	Name of the applicant / industrial unit. (Existing Unit)	
	a) Office address and telephone number	
	b) Factory address and telephone number i) Village ii) Post Office iii) Taluka & District iv) Plot No. MIDC Area / Others	
	c) Full particulars about the others Divisions i) In Maharashtra ii) Out side Maharashtra	
2.	Constitution and date of establishment of the applicant unit. (Proprietary / Partnership / Private Limited Company / Co Operative / Trust)	
3.	Name of Full postal residential address (s) of Proprietor / Partners / Directors / Members of the Managing Committee / Trustees	

4.	Type of Industry & Products for which registration obtained. (Please give products under broad heads with reference to classification as per Indl. Licence / DGTD Regn. / SSI Registration etc.)		
5.	<p>a) Details (with date) of previous application, if any filed for eligibility under the earlier scheme of Incentives (if so application is at all filed it should be so mentioned)</p> <p>Date of application :</p> <p>Date of filing :</p> <p>Scheme (The 1969 / 1973 / 1976 / 1983/ 1988 /1993)</p>		
	<p>b) If the unit already holds a letter of Intent under the Earlier Schemes, indicate the number and Dt. Letter of Intent No. :</p> <p>Date :</p> <p>Scheme : (The 1969 / 1973 / 1976 / 1983/ 1988)</p>		
	<p>c) If the unit already holds an Eligibility Certificate, under the Earlier Scheme, give the following details :</p> <p><b>PART I :</b></p> <p>i) Project Cost :  ii) Products :  iii) Location :  iv) Eligibility :  Certificate No.  and Date  v) Period of Validity:  vi) Scheme (The 1969/1973/1976 / 1983/1988/1993) —</p> <p><b>PART - II</b></p> <p>i) Project Cost  ii) Product (s)  iii) Location  iv) Eligibility Certificate No. and Date  v) Sales Tax Loan entitlement  vi) Fixed Capital Investment.</p>		
	<p>vii) The Scheme under which Eligibility Certificate is obtained.  (The 1993)</p>		
6.	<p>Dates on which Effective steps are taken / completed, for the proposed unit. (If not taken / completed, indicate accordingly)</p>		
	<p>i) Possession of Land.  ii) Permission for non- agricultural use, if the location is outside MIDC area.  iii) State/ Central Government Registration or Licence etc.</p>		

	(SSI Registration /DGTD Registration / Letter of Intent / Licence / Others ) a) Registering Authority b) Date of Registration c) Registration / Licence No. d) Item (s) for which Registered / Licenced. e) Annual Capacity for which registered / Licensed. f) Location for which Registration / Licence obtained. iv) C.G. Clearance / Import License v) Acquisition of 10% or Fixed Assets of the Project Cost. vi) Expenditure incurred on fixed assets @ 25% of the Project Cost.		
7.	Date of Expected date commencement of production / completion of Expansion / Diversification of Project / In case of Expansion/ Diversification		
8.	Registration under the Sales Tax Acts i) Registration Certificate No. under the Bombay Sales Tax Act, 1959 / with date and date of effect.		

	ii) Registration Certificate no. under the Central Sales Tax Act, 1956 with date and date of effect. (Details in respect of all Registration to be furnished.) Registration No. : Date : Date of Effect : iii) Recognition number under the Bombay Sales Tax Act, 1959 / with date and date of effect Recognition No. : Date : Date Of Effect : iv) a) Whether Registration obtained as a new unit or a transferee / shifting . b) Earlier Registration No. in case of transferee / shifting.		
9.	The Accounting year followed / proposed to be followed by the application .		
10.	Production with reference to License issued of the existing unit in value and quantity for accounting years. i) Licensed Capacity ii) Installed Capacity iii) Capacity utilised in terms of actual production in value of quantity for each product.		

11.	Total turnover of Sales of the existing unit with Sales Tax paid, if any, for the immediately proceeding & accounting years. i) Total turnover of Sales ii) Taxes payable and paid under the Bombay Sales Tax Act, 1959. iii) Taxes payable and paid under the Central Sales Tax Act 1956.		
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	OTHER DETAILS REGARDING THE EXISTING UNIT/NEAR NEW UNIT/EXPANSION, DIVERSIFICATION		
12.	Average number of workers employed / proposed to be employed. Supervisory- a) Technical b) Non Technical Workers - a) Skilled b) Unskilled		
13.	Electric power requirement in K.W. of existing unit / proposed unit.		
14.	Source of Water Supply / Water requirement		

**SECTION B  
(FOR NEAR NEW UNIT ONLY)**

15.	Cost of Project : a) Land / Site Development b) Building c) Plant & Machinery d) Electrical Equipment e) Technical know-how fees/Engineering, etc. f) Other Assets + E.T.P. g) Preliminary and Capital issue expenses. h) Provision for contingencies i) Margin for working Capital		
	<b>Total</b>		

NOTE : Please furnish the following :

- i) Plan/Lay-out of and a detailed explanatory note on the cost of the common utilities / infrastructure of the Existing Unit to be utilized by the Near New Unit.
- ii) Process chart of the products of the Existing Unit and the proposed Near New Unit for which application is made including lay-out of machinery / equipment for both the Existing. Unit and the proposed Near New Unit.

15.	<b>(FOR EXPANSION UNIT)</b> Cost of the Project : a) Land / Site Development b) Building c) Plant & Machinery d) Electrical Equipment e) Technical know-how Electrical fees/Engineering, etc. f) Other Assets, Storage Tanks, Installation Etc. g) Preoperative expenses h) Provision for contingencies i) Margin for working Capital  <b>TOTAL</b>	AS ON	EXPANSION PROJECT
<b>SECTION 'C'</b>			
<b>MEANS OF FINANCE OF THE EXPANSION</b>			
16.	Means of Finance  Share Capital-Equity Preference Rupee Loans Foreign Currency Loan Debentures Internal Cash accruals Other (Specify)		Name of Institution (Rs. in Lacs)
	Total :		
<b>SECTION 'D'</b>			
<b>To be filled in only when the application is made under Part - II</b>			
17.	Total Sales Tax Liability for previous three years is as shown below : (i) (ii) (iii)		
	(Certificates from Chartered Accountants/Sales Tax Officer in the prescribed Performa as appended in support of the above figures should be annexed to the application).		

- 1) Certified that no Letter of Intent or Eligibility Certificate for any of the projects / the project/unit aforesaid has been earlier obtained other than/those one(s) indicated in column No. 5 of Section 'A' and that this is the first application in regard to the project/unit aforesaid.
  - 2) Certified that the information/Statements contained in this application for Eligibility Certificate are true to the best of my/our knowledge and belief.
  - 3) Declared that no Government enquiry has been instituted against the applicant and or its proprietor/partners/Directors for any economic offence.
  - 4) We hereby agree to abide by the terms and conditions of the provisional / Final Eligibility Certificate to be issued in pursuance of the above application for Eligibility Certificate.
- 1) I/We hereby agree that the provisional/Final Eligibility Certificate issued on the basis of the above statements made and information furnished is liable to be cancelled ab-initio and withdrawn, if any of the statements and / or information as are found to be incorrect / untrue. All the monetary benefits already availed of on the basis of the Eligibility

Certificate so cancelled shall be recoverable as Government dues forthwith along with compound interest @ 15% per annum at such other higher rate as may be fixed by the implementing agency from time to time and no further benefits will be available to the Project / Unit for which the above application is made.

For

DIRECTOR

Place :

Date :

Enclosures